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Fatores associados a recorrência em doentes com 5 anos de sobrevida após
resseção de metástases hepáticas de cancro colo-rectal/

Factors associated with recurrence in patients with 5-year survival after
resection of colorectal cancer liver metastases

março, 2018

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Eu, Raquel Jorge Domingues Palhau, abaixo assinado, nº mecanográfico 200802286, estudante do 6º ano do Ciclo de Estudos Integrado em Medicina, na Faculdade de Medicina da Universidade do Porto, declaro ter atuado com absoluta integridade na elaboração deste projeto de opção.

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Faculdade de Medicina da Universidade do Porto, 20/03/2018

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DESIGNAÇÃO DA ÁREA DO PROJECTO

Ciências médicas e da saúde

TÍTULO DISSERTAÇÃO/MONOGRAFIA (riscar o que não interessa)

Fatores associados a recorrência em doentes com 5 anos de sobrevida após ressecção de metástases hepáticas de cancro colo-rectal/ Factors associated with recurrence in patients with 5-year survival after resection of colorectal cancer liver metastases

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COORIENTADOR (se aplicável)

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ASSINALE APENAS UMA DAS OPÇÕES:

É AUTORIZADA A REPRODUÇÃO INTEGRAL DESTES TRABALHOS APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	<input type="checkbox"/>
É AUTORIZADA A REPRODUÇÃO PARCIAL DESTES TRABALHOS (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	<input type="checkbox"/>
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“Devagar se vai ao longe”.

Um sincero obrigada!!

Raquel Palhau

Factors associated with recurrence in patients with 5-year survival after resection of colorectal cancer liver metastases

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ABSTRACT

Introduction: Colorectal cancer (CRC) is associated with liver metastases up to 35% of cases. Even after performance of liver surgery with curative intent and absence of extra-hepatic involvement, recurrence is frequent. Therefore, the identification of factors associated with recurrence and disease-free survival (DFS) could provide better selection of candidates for liver surgery.

Patients and methods: Patients submitted to liver surgery for colorectal liver metastases (CRLM), in São João Hospital Center (CHSJ), with 5-years survival and no extra-hepatic disease were studied. Data was collected and analysed to identify recurrence and DFS associated factors.

Results: Recurrence occurred in 20 patients (52.63%) and 27 patients (71.05%) are alive and in remission with a DFS mean of 73 months. Statistical significance was found for early relapse associated with left side colon cancer ($p < 0.05$) and late recurrence with positive margins ($p < 0.043$). Also, patients with less than 5 metastases had at least double DFS ($p < 0.033$).

Conclusion: DFS is optimized when less than 5 lesions are present. Faster relapses occur in right colon cancer, although DFS was similar independently of primary's tumour site. It is important to increase size sample and compare to those without 5-year survival for further conclusions.

Keywords: colorectal cancer, hepatic metastases, hepatic resection, recurrence, disease free survival

INTRODUCTION

Colorectal cancer (CRC) remains the third cause of malignancy death worldwide ^{1,2}. There hasn't been found any association in gender but it's well recognised that men present higher mortality and incidence rates, although rate of CRC deaths appear similar between both genders ^{2,3}. Primary prevention is the first step towards several recognized risk factors, such as diet, physical activity, weight, smoking and alcohol ⁴. Most developed countries divulged screening progress, especially for individuals at risk (Inflammatory Bowel Disease and personal or family history of CRC), promoting early detection, as well as better and improved treatments that have allowed an increase of Overall Survival (OS) ^{2,3,5}.

When suspicion of CRC, full work up, including thoracic/abdominal/pelvic computed tomography (CT), should be performed and in doubt an MRI, in order to verify resectability of primary tumour, liver metastases and the existence of extra-hepatic disease ⁴. The use of enhance imaging techniques allows the identification of colorectal liver metastases in 35% of cases ⁶⁻⁸. Furthermore, synchronous metastases are in a majority of cases, 70-80%, confined to the liver ⁹. And eventually, more than half of CRC patients will develop liver metastases ^{9,10}. Until now, hepatic surgery as proven to be the most effective treatment increasing OS ^{4,11-14} with mortality rates after hepatic surgery described as lower than 5% ¹⁴⁻¹⁶ and 5-years survival between 16-74% according to Kansas et al review and meta-analysis ¹².

Nowadays, new therapies such as transarterial chemoembolization (TACE); systemic chemotherapy, with or without biologic agents; radioembolization with Yttrium-90 (useful to refractory disease) and radiofrequency ablation (RFA) have been used in association with surgery or even as a substitute for those inapt for surgery ^{4,17,18}. All local therapies with no exception have been proven to be inferior to surgery when used alone ^{4,5,19}. However, if the use of some of these therapies need more studies to support more consistent applications, the use of chemotherapy has been widely established ⁴. Even though, perioperative chemotherapy in resectable liver metastases is still somewhat debatable and should be applied individually to patient characteristics ^{5,20}, it achieves great importance in converting irresectable liver metastases in resectable ones, especially because the majority of patients appear to have irresectable lesions ^{4,5,17,21,22}. It has been described that patients with successful conversion of irresectable liver metastases can even achieve 33 to 76% survival at 5-years ²³⁻²⁵.

Given the evolve of all medical areas (pathology, oncology, radiology and surgery) and the different therapeutic options available is extremely important that this patients should be referred to centers with multidisciplinary teams that are better prepared to provide better decisions for individual patients regarding management and treatment, decreasing delays, costs and increasing OS ^{5,19,26}.

If, on one hand, 5-years survival has been increasing due to a better medical treatment-management, on the other hand recurrence is still frequent and affects around 70% within less than 2-years²⁷. And so, a close up follow up is needed for patients treated for colorectal liver metastases (CRLM). This is usually done by measuring carcinoembryonic antigen (CEA) levels and performance of CT scan on regular basis, since they're the most cost-effective for detecting recurrence²⁸. Still, a close-up surveillance is necessary and it is also imperative that we understand which factors could contribute to a intra or extra-hepatic recurrence, in order to improve patient treatment and patient selection.

The aim of the present study is to study factors that could correlate to recurrence and DFS, in patients that are alive 5-years after CRLM resection.

PATIENTS AND METHODS

All patients submitted to resection of CRLM in the Department of Hepatobiliary Surgery of São João Hospital Center (CHSJ), from 2006 to 2012, were identified in the Department's database.

One by one, each patient was analysed, being selected only those with the following characteristics:

- 5-year follow-up in CHSJ
- No extra-hepatic disease
- Hepatic resection with curative intent

Data for these patients were afterwards extracted from the Hospital's database, which included clinical records from medical consultations (oncology, colon surgery, hepatic surgery), imaging exams (CT), full blood workup, anatomopathological results and surgical registries. No patients or family patients were contacted during the fulfil of this study. And all measures were taken protecting the anonymity of these patients. This study was approved by the Ethical Comity (CES) of CHSJ, number 270/17.

Patient selection is represented in Figure 1.

The following data was searched: age, gender, site and histopathology from the primary tumour, time of hepatic metastases and characteristics (size, number and localization), preoperative CEA levels, hepatic surgical resection, complications, time of recurrence and time of disease free.

Patients with the primary tumour located less than 15 cm from the anal margin were defined as rectum, as designed in the nomenclature of the Portuguese Society of Surgery. Right side colon cancer (RCC) was considered between cecum and proximal transverse and left side colon cancer (LCC) included distal transverse, descending colon

and sigmoid.

Complete lymphadenectomy was considered when at least 12 lymph nodes were removed.

DFS was considered the time interval between first liver resection and secondary recurrence of CRC (colon, liver, lung or other extra-hepatic sites). Early relapse was defined as within less than 12 months after CRLM resection.

Data were pooled and analysed using SPSS v. 25 software. Numerical variables were described using mean or median with their dispersion measures (standard deviation and 95% confidence interval or 5 and 95 percentiles). Categorical variables were described in relative frequencies. In the comparison between groups, independent t-student, ANOVA or Pearson's Chi-Square tests were used, according to the type of comparison, with $\alpha = 0.05$.

RESULTS

Patient Demographics

From the 38 patients that fitted the inclusion criteria's 15 were women and 23 were men. The median age (at time of hepatic resection) was 64.32 as described in Table 1 (range 40 to 85), 14 were age 70 or older.

Primary Lesion

As presented in Table 1, 28 patients had their primary lesions in the colon. The majority, 52.6 %, had LCC, followed by rectal disease (26.3%) and RCC, (21.1%). Patients with RCC presented mean age of 73.38, versus 62.95 in LCC and 59.8 in rectum. In 20 patients, 47.5%, the primary tumour was associated with regional lymph node metastases (3 in RCC, 11 in LCC and 6 in rectum). The primary tumour was associated with synchronous hepatic metastases in half of the patients (2 RCC, 10 in LCC and 7 in rectum) and in 23.7% of the patients within less than a year. Duo to occlusion (4) or perforation (2), six patients were submitted to urgent colon resection surgery.

Adjuvant chemotherapy, after the primary tumour resection, was given to all patients but seven, one due to comorbidities and in other three because of the delayed of oncological consultation after primary tumour resection. No explanation was found in the other three.

Histopathology

In seven patients' histology of the primary tumour was not accounted for, since surgery was performed in the exterior, and therefore could not be access through the Hospital's database. In this cohort, 75% of patients presented primary tumours that penetrated through the *muscularis propria* (T3). A majority of patients, 52.6%, presented positive lymph nodes (N1 or N2), however it should be accounted that 25.7% of the patients had less than 12 lymph nodes removed, which could underestimate this percentage. Results are presented in Table 1. Interestingly enough, in all 6 patients subject to urgent colon resection complete lymphadenectomy was performed. Invasion of the visceral peritoneum was present in 5 patients, 4 in LCC and 1 RCC.

In 13 patients KRAS mutation was searched, five of them turn out positive, but in only two biologic agents were used.

Neoadjuvant systemic and local therapy

There were not used local therapies in these patients. Sixteen patients received neoadjuvant chemotherapy (42.11%), twelve presented synchronous hepatic metastases and in the other four cases chemotherapy was used to turn the hepatic lesions resectable. The neoadjuvant chemotherapy scheme more often used was FOLFOX or FOLFIRI (10

of 16) often associated in biologic agents, when more than four hepatic lesions or bilobular disease was present. Only in three people capecitabine was administered and, in one patient, irinotecan was associated.

Liver Tumour

The median number of liver metastases was 3 (range 1 to 15). Single liver tumour was present in seventeen patients (almost 45%). Generally speaking 78.9% present less than 5 liver metastases, 10.5% between 5 and 10 and 10.5% over 10 hepatic metastases. The mean size of the biggest metastases was 3 cm (range 0.6 and 6) and only 4 patients presented tumours larger than 5 cm.

CEA levels

Preoperative CEA levels were not available in nine patients. Overall, 63.3% present levels under 10 ng/dL, and 20% levels over 30 ng/dL. The mean CEA levels were 95.93 ng/dL, although it should be stated that three patients presented values of 442.2, 739 and 1411.8 ng/dL, which can misrepresent this cohort.

Surgical Resections

First and second liver resections performed are described in Table 2. Complete or extended lobectomy were complemented with liver metastasectomy in 28.9% of cases. On average two and half hepatic segments were resected, range 1 to 6. Ten patients were submitted to new hepatic resection, in this group, one patient underwent radioablation in association to liver resection. No other complementary techniques were used.

Simultaneous resection of the primary tumour was performed in five patients, 13.16%, and two patients underwent liver resection prior primary tumour resection (reverse approach). In seventeen patients clamping of the hepatic pedicle was performed. After initial hepatic resection, new hepatic resection was performed in 10 patients (26.32%). Two stage hepatectomy was executed in three patients, these patients presented multiple metastases with bilobular disease.

Only one patient was treated with other local techniques combined with hepatic surgery (radioablation), after intra-hepatic recurrence.

Perioperative Results

Mean hospital stay was 11 days (range 5 to 47). Five patients required perioperative blood transfusion. Of the 38 patients, four of them had post-operative complications, 10.56%. Two developed intra-abdominal abscess collections, one of them resolved with percutaneous drainage, whereas for the other one new surgical approach was needed and a drain was left in place. Other one developed atrial fibrillation being successfully treated with amiodaron.

As for the last developed sepsis and exploratory laparotomy was required and although the source was not to be discovered during the procedure the patient had a following good recovery.

Adjuvant therapies

Only 3 patients, 7.89%, did not receive adjuvant chemotherapy. Two presented a single hepatic lesion and the other three lesions but less than 0.6cm. In ten patients FOLFOX was used isolated, and in three patients' bevacizumab or cetuximab was co-administered, six patients used FOLFIRI, one of them simultaneously with bevacizumab, one used irinotecan plus cetuximab, another one panitumumab, four XELOX and eight capecitabin alone.

Follow-up

After discharge, patients were reviewed after, first postoperative month and every 3 months in the first year, with evaluation of tumour markers (CEA), liver function tests, abdominal and chest CT scans performed after 6 and 12 months. After 3 years of follow up with no recurrences, annual follow-up was considered, as well as discharged to family doctor after 5/6 years. Discontinuation of the treatment was decided in the absence of any persistent or recurrent tumour.

Time recurrence

Of the 38 patients, 18 patients (47.37%) did not relapse and 20 patients relapsed within 19.45 months after hepatic surgery (range 3 to 39). Overall there was no statistical difference in age, gender, histopathology from the primary tumour, time of hepatic metastases and characteristics (size, number and localization), preoperative CEA levels or bilobular hepatic disease. However, two variables presented statistical significance ($p < 0.05$) as is presented in Table 1. In this sample, early relapse was present only in those with LCC ($p < 0.05$). All R1 patients presented late relapse. However, late relapse was more frequent in patients with negative surgical margins (78.6% versus 21.4%, $p < 0.043$).

No relapse was found after 39 months.

Disease free Survival

Hepatic lesions were the only variables that appear to have statistical significance ($p < 0.05$), as observed in Table 3. Patients with less than 5 hepatic metastases had at least double time DFS (median of 86 months versus 41.5 and 22). Although, timing of hepatic metastases, hepatic size metastases and surgical margins do not present statistical significance in this cohort, this should be confirmed with a greater size sample. Early metachronous hepatic metastases appear to have higher DFS then synchronous and late metachronous, median 105. 81 and 77, respectively ($p < 0.061$).

The presence of hepatic metastases with less than 5 cm looks to enhance DFS when compared to those larger than 5 cm (median 82 months versus 43.5 months, $p < 0.61$). Finally, patients with negative surgical margins present a median DFS of 82.5 months, whereas patients with positive margins present only 18 months ($p < 0.66$).

Twenty-seven patients are presently in remission (71.05%).

DISCUSSION

Given the nature of the present study, several problems should be pointed out. This is a retrospective study which conditioned the collection of data, namely, some of the variables studied were non-existent or even incoherent, and therefore could not be used. Also, in the absence of a control group, patients that have died before the 5-year follow up, the factors highlighted in this study cannot be determined as prognostic factors and considered only associated factors, given that certain characteristics could be inherent to the present population with CRC stage IV.

In this study, it is estimated an 31.7% survival rate at 5-year follow up, 38 patients of 120. Nevertheless, it should be stated that 3 patients were excluded due to extra-hepatic disease at diagnoses, therefore a 34.2% survival would be more accurate. There was no significant difference between gender or age regarding relapse as some studies agree^{13,29}. Still, elderly appear to present worse outcome³⁰.

Statistical significance was found in primary tumour localization. Patients with LCC were the only ones associated with early relapse ($p = 0.05$). Is it then correct to assume, that patients with early relapse with RCC or rectal cancer present a survival of less than 5 years? Many studies report worse prognosis and survival for those with RCC when compared to LCC, not only due to histopathologic characteristic but to late clinical presentation and different metastatic sites^{31–33}. Also RCC seems associated more frequently to female gender and older patients^{31–33}. And it comes to no surprise that rectum cancer presents worse outcomes, earlier recurrence and worse OS even in the absence of hepatic metastases³⁴.

Although, in general, histopathology differences are presented as a factor to worst prognosis, in this study was difficult to evaluate the impact of KRAS mutation since less than half of the patients had KRAS mutation searched. Presently it is established that RAS testing should be carried out in all patients with CRLM⁵. KRAS mutation usually presents higher rates in RCC³², and it's been described that their presence can even decrease survival in 20%³⁵.

Positive lymph nodes did not correlate to recurrence, as some state in the literature^{36,37}. Regardless, others considered their presence not only an important prognostic factor for recurrence but also for OS,^{13,29} thus being included as variables for predicting scores for recurrence or survival. Positive lymph nodes are more specifically associated with early recurrence³⁸.

Timing of hepatic metastases was defined according to a multidisciplinary international consensus.²⁰ In this cohort synchronous CRLM was present in half of the patients, and although it is known to present poor prognosis, it did not appear to relate to early recurrence. Moreover, according to several specialists consensus synchronous CRLM are associated with worse prognosis, especially when compared to late metachronous^{5,20}. Curious enough in the

present study, not only early metachronous presented a higher DFS, synchronous metastases surpassed late metachronous, without reaching statistical significance, which comes to an agreement in some studies that timing of hepatic metastases do not affect OS or DFS ¹⁴. However, comparison to those deceased before 5year follow up would be require to encounter a more reliable conclusion.

Number and size of hepatic metastases has been considered an important factor in predicting recurrence and OS, even though the exact size and number are still debateable. In the present study no statistical significance was found in CRLM characteristics regarding recurrence, as also described in some studies ^{27,39} or regarding solely size ³⁸. However most studies included over 1 or 3 hepatic metastases as a predicting factor for recurrence ^{13,30,38}. But a higher DFS was seen when less than 5 hepatic lesions were present, conduction to at least double time disease free (median of 86 months versus 41.5 and 22 (p < 0.033).

As for CEA levels two things should be pointed out in the present study, in 8 patients CEA levels perioperative were not available, thus diminishing sample size, and in 16 of them neoadjuvant QT was used prior surgery that influence their value. So even if in a hand full of cases increased CEA levels indicted a recurrence, as found in literature ²⁸, this factor was not statistically associated with recurrence or DFS.

The surgical approach although described was not statistically studied. It is described in the literature that simultaneous resection in synchronous CRLM is safe and associated with decrease time in hospital admission, however this should not be applied in those intended to major hepatectomy and resection of over 3 segments duo to higher risk of complications, ^{40,41}. Other studies suggest that the classical approach was in general associated with better OS and DFS ⁴². Also, in this study patients who were submitted to two stage hepatectomy presented bilobular disease and multiple metastases, and it has been showed that even in the presence of extensive metastatic disease, those who complete two stage hepatectomy can achieve comparable OS as those submitted to Classical approach and one stage hepatectomy regardless of recurrence ⁴³.

A secondary procedure was performed in 10 patients and no more than 3 segments were removed. This is consistent with former studies that acknowledge major hepatectomy as being less frequent in a second hepatectomy ^{44,45}. Moreover, a secondary hepatectomy may well be associated with better OS ⁴⁵.

As described, only one patient was subjected to other local technics, specifically radioablation, combined with liver surgery. NCCN guidelines suggests the use of radioablation only in those with recurrent small hepatic metastases with adequate margins, ⁴, adding the existence of limited data. Interestingly enough, studies have shown that radioablation is associated with increase hepatic recurrence when compared to surgery alone ^{27,46}.

In the present study statistical significance was obtained in surgical margins. Positive margins were associated with early recurrence, although negative margins presented a majority in this group. Most studies support that R0 margins are not only associated with less recurrence but also to an increase in OS^{27,30,38,47}. However, some like Hass et al, don't agree that R1 margins have impact on OS⁴⁸. Even though, surgical margins did not reach a statistical significance in DFS, $p < 0.066$, a propensity score matching analysis concluded that R1 margins are still an impacting factor in OS and DFS, even when recurrence has been balanced⁴⁹.

As a final remark, twenty patients of the present study presented relapse within 19.45 months after hepatic surgery (range 3 to 39). Twenty-seven patients are presently in remission (71.05%). Even though we analysed those with 5-year follow up we have patients that actually present till 10 years (120 months) survival after hepatic resection. So in some we can agree with studies that state that patients that do not present any recurrence before 5-years that they are "cured"⁵⁰, seen no patients in this study present relapse after 60 months. However, this should be pursued in patients with less than 5-years survival.

CONCLUSION

Summarizing, more than half of patients, with 5-year survival, and LCC have faster relapse. Although DFS was similar independently of the site of the primary tumour. Also, DFS is best for patients with less than 5 lesions. In a greater size sample timing, number, size of hepatic metastases and surgical margins should be study as possible factors that could enhance DFS, even though in this cohort statistical significance was not reached.

The existence of several discrepancies in the nomenclature regarding the factors associated with recurrence and OS calls for the performance of studies at greater scale, namely in different specialized centers. Is it not wise to question that, since studies are being performed in different populations, the same factor could be more determinant in some populations and have less impact in others. Additionally, the same factors could be misevaluated taking into account distinct cut-offs. There is no surprise that, consensus prevenient from specialist panels pronounces that treatment and surgical approach should always be taken into consideration by multidisciplinary teams that considered the inherent clinical characteristic of the patient itself.

This study should be criticized duo to small size sample and the lack of comparison with patients' deceased before 5-year follow up. However, this could be the start of a large-scale study that could not only turn to a development of a solid score but also have greater implication as to the choosing of more adequate patients for surgery and the choosing of those in which a more aggressive therapy should be performed, for starts in CHSJ.

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TABLES AND ILLUSTRATIONS

Figure 1: Diagram representing patient selection from the Department’s Database.

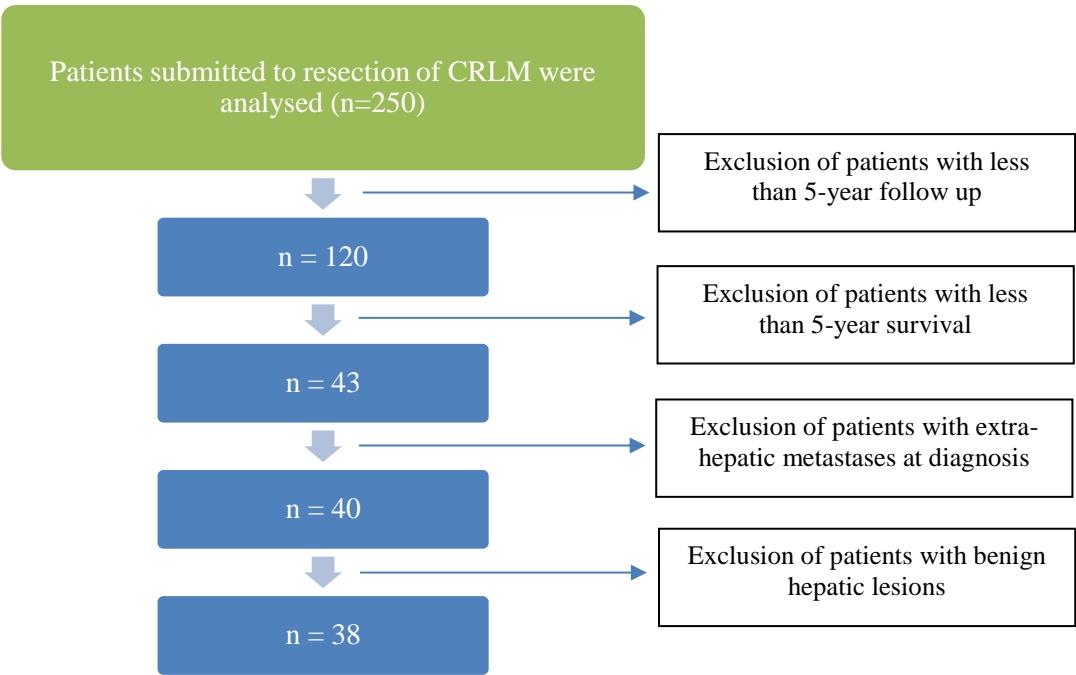


TABLE 1: FACTORS ASSOCIATED WITH RECURRENCE

	<i>Total sample (n=38)</i>	<i>No-relapsed (n=18)</i>	<i>Early Recurrence (n=15)</i>	<i>Late Recurrence (n=5)</i>	<i>P (α= 0.05)</i>
<i>Age (years)</i>	64.32±11.00 (60.70 – 67.93)	68.11±9.36 (63.46 – 72.76)	61.07 ±12.58 (54.10 – 68.03)	60.40±8.39 (49.99 – 70.81)	0.129
<i>Gender (%)</i>					
<i>Male</i>	60.5	61.1	53.3	80	0.571
<i>Female</i>	39.5	38.9	46.7	20	
<i>Primary tumour localization</i>					
<i>RCC</i>	21.1	27.8	20.0	0	*<0.05
<i>LCC</i>	52.6	44.4	46.7	100*	
<i>Rectum</i>	26.3	27.8	33.3	0	
<i>T (%), n=36</i>					
2	11.1	11.8	14.3	0	0.820
3	75	70.6	78.6	80	
4	13.9	17.6	7.1	20	
<i>N (%)</i>					
0	47.4	55.6	40	40	0.842
1	36.8	27.8	46.7	40	
2	15.8	16.7	13.3	20	
<i>Lymphadenectomy (n=35)</i>					
<i>Non complete</i>	25.7	27.8	25	20	0.938
<i>Complete</i>	74.3	72.2	75	80	
<i>Visceral peritoneum invasion (n=31)</i>					
<i>No</i>	83.9	82.4	90	75	0.764
<i>Yes</i>	16.1	17.6	10	25	
<i>Timing hepatic metastases (%)</i>					
<i>Synchronous</i>	50	44.4	53.3	60	0.610
<i>Metachronous</i>					
<i>Early</i>	23.7	33.3	20	0	
<i>Late</i>	26.3	22.2	26.7	40	
<i>Hepatic lesions number (%)</i>					
<5	78.9	88.9	73.3	60	0.656
5-10	10.5	5.6	13.3	20	
>10	10.5	5.6	13.3	20	
<i>Hepatic size metastases (%, n=37)</i>					
<5	89.2	88.9	92.9	80	0.728
5-10	10.8	11.1	7.1	20	
<i>Pre-op CEA levels (n=30)</i>					
<10	63.3	56.3	77.8	60	0.615
10-30	16.7	25	0	20	
>30	20.0	18.8	22.2	20	
<i>Bilobolar hepatic metástases (%, n=37)</i>					
<i>Absent</i>	64.9	72.2	57.1	60	0.655
<i>Present</i>	35.1	27.8	42.9	40	

ASA (%)						
	<i>I</i>	65.8	61.1	73.3	60	0.900
	<i>II</i>	13.2	16.7	6.7	20	
	<i>III</i>	21.1	22.2	20	20	
<i>Surgical margins</i> (<i>n=37</i>)						
	<i>Negative</i>	91.9	100	78.6*	100	*0.043
	<i>Positive</i>	8.1	0	21.4*	0	

TABLE 2: SURGICAL PROCEDURES

	Primary Liver procedure (n)	Secondary Liver procedure (n)
Metastasectomy	11	1
Segmentectomy	7	3
Bisegmentectomy	6	4
Trisegmentectomies	5	2
Four segments resected	3	0
Five segments resected	6	0

TABLE 3: FACTORS ASSOCIATED WITH DISEASE FREE SURVIVAL

		Disease free survival (n=38, months) Median(P5-P95)	P
Total		82 (9.65 – 127.10)	
Sex			
	Male	82.00 (4.4-128)	0.834
	Female	82.00 (11 – 127)	
Primary tumour localization			
	RCC	77 (19 – 110)	0.798
	LCC	85.5 (3.35-128.9)	
	Rectum	81.5 (15-112.2)	
T, (n=36)			
	2	80.5 (6,1-103.5)	0.611
	3	86 (6.2-128.2)	
	4	72 (10-102)	
N			
	0	82 (3-127)	0.897
	1	80 (15-122.5)	
	2	88,5 (10-117)	
Lymphadenectomy (n=35)			
	Incomplete	81.5 (5.45-125.15)	0.213
	Complete	89 (15-125.5)	
Visceral peritoneum invasion (n=31)			
	No	84 (12.4-128.3)	0.295
	Yes	72 (10-102)	
Timing hepatic metastases (%)			
Synchronous		81 (12.75-127.9)	0.061
Metachronous			
	Early	105 (22-121)	
	Late	77 (11-125.1)	
Hepatic lesions number (%)			
	<5	86 (12.75-127.9)	*0.033
	5-10	22 (11-85.5)	
	>10	41.5 (3-70.75)	
Hepatic size metastases (% , n=37)			
	<5	82 (10.70-127.60)	0.061
	5-10	43.5 (3-96.75)	
Pre-op CEA levels (n=30)			
	<10	88 (10-124)	0.412
	10-30	82 (74-111.5)	
	>30	77 (3-86.75)	
Bilobolar hepatic metástases (% , n=37)			
	Absent	84 (11.25-127.75)	0.408
	Present	81 (3-127)	
ASA (%)			
	I	83 (10.3-128.4)	0.507
	II	80 (17-110)	
	III	77.5 (3-87.5)	
Surgical margins (n=37)			
	Negative	82.5 (8.25-127.5)	0.066
	Positive	18 (17-18)	

ATTACHMENTS

Author guidelines for HBP magazine



AUTHOR INFORMATION PACK

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3. Mettam GR, Adams LB. How to prepare an electronic version of your article. In: Jones BS, Smith RZ, editors. *Introduction to the electronic age*, New York: E-Publishing Inc; 2009, p. 281–304.

Reference to a website:

4. Cancer Research UK. Cancer statistics reports for the UK, <http://www.cancerresearchuk.org/aboutcancer/statistics/cancerstatsreport/>; 2003 [accessed 13 March 2003].

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[dataset] 5. Oguro M, Imahiro S, Saito S, Nakashizuka T. Mortality data for Japanese oak wilt disease and surrounding forest compositions, Mendeley Data, v1; 2015. <https://doi.org/10.17632/xwj98nb39r.1>.

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Ethical Approval

unidade de investigação

Tornei conhecimento. Nada a opor.

23 de Janeiro de 2018

A Coordenadora da Unidade de Investigação

(Prof.ª Doutora Ana Azevedo)



SÃO JOÃO

n.º 270/17

Aprovado. Ao CA

DIRECÇÃO CLÍNICA

(Prof.ª Doutora Ana Azevedo)

PEDIDO DE AUTORIZAÇÃO

Realização de Investigação

Exmo. Senhor Presidente do Conselho de Administração
do Centro Hospitalar de São João

Nome do Investigador Principal:

Raquel Jorge Domingues Palhau

Título da Investigação:

Fatores associados a maior sobrevida após ressecção de metástases
hepáticas de Carcinoma do Cólon e Reto – estudo retrospectivo

AUTORIZADO

CONSELHO DE ADMINISTRAÇÃO DO CENTRO HOSPITALAR DE SÃO JOÃO
Presidente do Conselho de Administração 08 FEB 2018

Doutor Clínico	Enfermeira Chefe	Vogal Executivo	Vogal Executivo
(Prof. Dr. João Paulo Silva)	(Enf.ª Patrícia Gonçalves)	(Dr. Luís Paulo Gomes)	(Dr. António G. Matos)

Pretendendo realizar no(s) Serviço(s) de:

Cirurgia Geral do Centro Hospitalar São João (CHSJ)

a investigação em epígrafe, solicito a V. Exa., na qualidade de Investigador/Promotor, autorização para a sua efetivação.

Para o efeito, anexo toda a documentação referida no dossier da Comissão de Ética do Centro Hospitalar de São João/Faculdade de Medicina da Universidade do Porto respeitante à investigação, à qual enderecei pedido de apreciação e parecer.

Com os melhores cumprimentos.

O Investigador/Promotor

Porto, 25 de Outubro de 2017.

Raquel Jorge Domingues Palhau
assinatura

Parecer da Comissão de Ética para a Saúde do
Centro Hospitalar de São João / Faculdade de Medicina da Universidade do Porto

Título do Projeto: Fatores associados a maior sobrevida após ressecção de metástases hepáticas de Carcinoma do Cólon e Reto – estudo retrospectivo

Nome da Investigadora Principal: Raquel Jorge Domingues Palhau, aluna do Mestrado Integrado em Medicina da FMUP

Onde decorre o Estudo: No Serviço de Cirurgia Geral do CHSJ. Dispõe de autorização do Dr. José da Costa Maia.

Objectivos do Estudo:

- Avaliar a sobrevida dos doentes submetidos a ressecção de metástases hepáticas de CCR
- Determinar os fatores associados a melhor sobrevida
- Comparar a sobrevida no CHSJ com os resultados dos melhores centros

Inserir-se no âmbito do Mestrado Integrado em Medicina da FMUP, sob orientação do Dr. Marinho José Soares Ferreira Almeida, que será também o profissional de ligação.

Concepção e Pertinência do estudo:

O Carcinoma do Cólon e Reto (CCR) mantém-se como a terceira causa de morte por cancro, apesar dos esforços promovidos na deteção precoce e existência de tratamentos mais eficazes. Cerca de 33% dos doentes atingidos desenvolverá metastização hepática, síncronas ou metácronas. Na ausência de doença extra-hepática, a cirurgia das lesões secundárias pode ser curativa, com sobrevida aos 5 anos entre os 36-58%. No entanto, a grande maioria dos doentes apresenta metástases hepáticas irresssecáveis ad initium, quer pelo seu tamanho, localização ou remanescente hepático funcional. Os avanços na quimioterapia, nas técnicas de modulação hepática e na abordagem percutânea permitem, em muitos casos, reduzir e tornar ressecável estas lesões. Atualmente, no Centro Hospitalar de São João (CHSJ) a existência de equipas multidisciplinares permite otimizar os recursos e adequar o esquema terapêutico a cada doente, equacionando o timing e a relação com a cirurgia de terapêuticas tão diversas como: a quimioembolização transarterial (TACE), a radiofrequência, a radioterapia locoregional, assim como, a quimioterapia sistémica.

Existem na literatura vários estudos que apresentam os fatores prognósticos que auxiliam na previsão da sobrevida a curto e a longo prazo, destes doentes, após a ressecção hepática, e diversos autores desenvolveram scores pré-operatórios com base nos mesmos, ainda que não exista consenso. Este tema tem sido pouco estudado no nosso país e um estudo dentro deste âmbito poderá auxiliar na seleção dos doentes candidatos a ressecção de doença hepática metastáticas do Carcinoma do Cólon e do Reto.

De forma a responder aos objetivos delineados será desenvolvido um estudo de cariz retrospectivo. A amostra a ser estudada será constituída por doentes submetidos a cirurgia de metástases hepáticas de CCR com 5 anos de sobrevida. Estão claros os critérios de inclusão no estudo, bem como as variáveis a serem estudadas.

Não é referido o período do estudo nem é claro como serão obtidos os dados retrospectivos dos doentes incluídos. Questiona-se também de que forma irá aceder aos dados que lhe permitem avaliar a sobrevida dos doentes incluídos no estudo.

Benefício/risco: NA

Confidencialidade dos dados:

De acordo com as normas em vigor, os doentes serão designados por código, não sendo divulgado o nome/identidade, protegendo, desta forma, o anonimato no decorrer de toda a investigação.

Respeito pela liberdade e autonomia do sujeito de ensaio: NA

Curriculum da investigadora: Adequado à investigação.

Data previsível da conclusão do estudo: março de 2018

Conclusão: Proponho um parecer favorável à realização deste projeto de investigação, **desde que clarificados os aspetos assinalados a itálico.**

Porto, 15 de dezembro de 2017

O Relator da CES,

A handwritten signature in black ink, consisting of a stylized 'I' followed by a series of loops and a horizontal line at the end.

Comissão de Ética

De: Raquel Palhau [raquel_palhau@hotmail.com]
Enviado: sexta-feira, 5 de Janeiro de 2018 15:38
Para: Comissão de Ética
Assunto: Re: Pedido de esclarecimentos CES

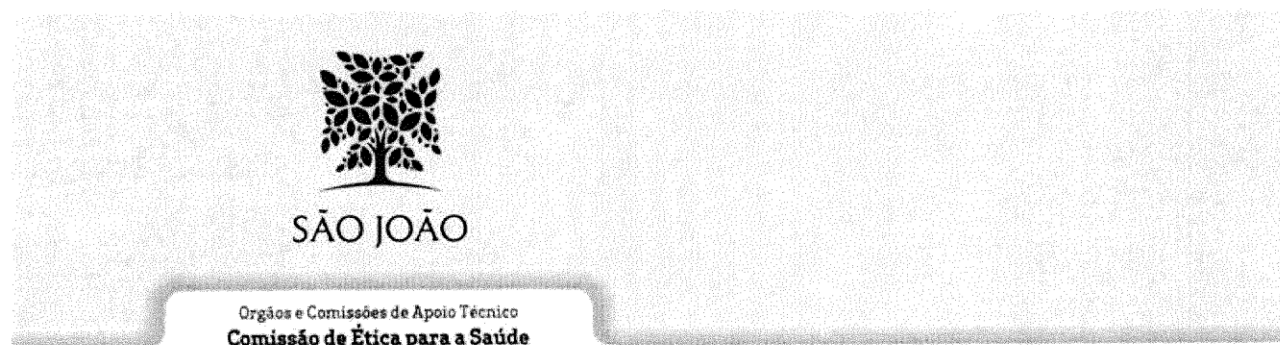
Boa tarde,

Desde já Bom ano e peço desculpa por só responder agora mas tenho tido alguns problemas em aceder ao meu mail.

No estudo só serão incluídos os doentes que foram submetidos a recessão hepática até Dezembro de 2012 (prevê-se incluir doentes submetidos a recessão hepática entre Outubro 2006-Outubro 2012), de forma a perfazer 5anos de sobrevida pós-op da recessão hepática. Existe uma base de dados com o número dos processos dos doentes submetidos a recessão hepática na unidade hepatobiliar do serviço de Cirurgia Geral do CHSJ. Será a partir desta base de dados que se avaliará cada doente individualmente através do SCLinic (nomeadamente registo das consultas, intervenções cirúrgicas, resultados anatomo-patológicos, exames auxiliares de diagnóstico, registo de óbito entre outros) sendo exclusivamente usada esta plataforma para a recolha dos dados retrospectivos e avaliada a sobrevida. Espero ter conseguido esclarecer as questões colocadas. Obrigada pela atenção.

Os melhores cumprimentos
Raquel Palhau

De: Comissão de Ética <comissao.etica@hsjoao.min-saude.pt>
Enviado: 15 de dezembro de 2017 15:20
Para: raquel_palhau@hotmail.com
Assunto: Pedido de esclarecimentos CES



Exma. Sra. Dra. Raquel Jorge Domingues Palhau

Em anexo envio parecer relativo ao protocolo 270-17 'Fatores associados a maior sobrevida após ressecção de metástases hepáticas de carcinoma do cólon e reto - estudo retrospectivo'.
Aguardamos o esclarecimento das questões assinaladas para a emissão de um parecer conclusivo.

Com os melhores cumprimentos,

Pedro Brito
(Comissão de Ética para a Saúde)

São claras a omissão
dos os respostas as
questões levantadas.
Nada a opor.
H. Almeida
12-1-18

ADENDA AO PROTOCOLO DE ESTUDO

Exmo. Senhor Presidente do Conselho de Administração do Centro Hospitalar de São João,

Venho por este meio pedir autorização para a alteração do título e objetivo do estudo, que obteve aprovação da CES e autorização do CA, no dia 7 de fevereiro de 2018, com o número 270/17.

Desta forma, pede-se a alteração do título de "Fatores associados a maior sobrevida após ressecção de metástases hepáticas de carcinoma do cólon e reto - estudo retrospectivo" para "Fatores associados a recorrência em doentes com 5 anos de sobrevida após ressecção de metástases hepáticas de cancro colo-rectal/Factors associated with recurrence in patients with 5-year survival after resection of colorectal cancer liver metastases".

Pede-se, igualmente, a alteração dos objetivos do projeto (avaliar a sobrevida dos doentes submetidos a ressecção de metástases hepáticas de carcinoma colorectal (CRC), determinar os fatores associados a melhor sobrevida e comparar a sobrevida no CHSJ com os resultados dos melhores centros) para:

- Avaliar a recidiva/recorrência e o tempo livre de doença em doentes submetidos a ressecção de metástases hepáticas de (CRC)
- Determinar os fatores associados a recorrência e tempo livre de doença
- Comparar a recorrência dos doentes no CHSJ com os resultados de outros centros

O grupo de estudo, variáveis e o método de recolha de informação seriam os mesmos, pretender-se-ia apenas clarificar que os doentes seriam comparados relativamente à existência de recorrência, sendo que, os doentes seriam divididos e comparados entre 3 grupos: sem recorrência, recorrência em menos 12 meses e recorrência após 12 meses.

Aguardo apreciação e parecer.

Os melhores cumprimentos

Raquel Jorge Domingues Ribeiro

A Adunça propõe nas reuniões
feitas e/ou pelo me proposto
um versor formal e que inclu-
am todos.

2018.03.21

[Assinatura]
Prof. Doutor Filipe
Presidente da Comissão da Saúde

LISTA DE DOCUMENTOS ANEXOS

- ☐ Pedido de autorização ao Presidente do Conselho de Administração do Centro Hospitalar de São João (se aplicável)
- ☐ Pedido de autorização à Diretora da Faculdade de Medicina da Universidade do Porto (se aplicável)
- ☒ Protocolo do estudo
- ☒ Declaração do Diretor de Serviço onde decorre o estudo
(sendo um estudo na área de enfermagem deve anexar também a concordância da chefia de enfermagem)
- ☒ Profissional de ligação
- ☐ Informação dos orientadores
- ☐ Informação ao participante
- ☐ Modelo de consentimento
- ☐ Instrumentos a utilizar (inquéritos, questionários, escalas, p.ex.): _____
- ☒ Curriculum Vitae abreviado (máx. 3 páginas)
- ☐ Protocolo financeiro
- ☐ Outros:

COMPROMISSO DE HONRA E DECLARAÇÃO DE INTERESSES

Declaro por minha honra que as informações prestadas neste questionário são verdadeiras. Mais declaro que, durante o estudo, serão respeitadas as recomendações constantes da Declaração de Helsínquia (1960 e respetivas emendas), e da Organização Mundial da Saúde, Convenção de Oviedo e das "Boas Práticas Clínicas" (GCP/ICH) no que se refere à experimentação que envolve seres humanos. Aceito, também, a recomendação da CES de que o recrutamento para este estudo se fará junto de doentes que não tenham participado em outro estudo, nos últimos três meses. Comprometo-me a entregar à CES o relatório final da investigação, assim que concluído.

Porto, 25 de Outubro de 2017

Nome legível: Raquel Jorge Domingues Palha

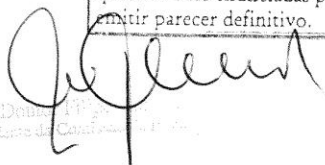

assinatura

Parecer da Comissão de Ética do Centro Hospitalar de São João/FMUP

Emitido na reunião plenária da CE de ____ / ____ / ____

15/12/17

A Comissão de Ética para a Saúde tendo aprovado o parecer do Relator, aguarda que o Investigador/Promotor esclareça as questões nele enunciadas para que possa emitir parecer definitivo.


Prof. Doutor J. P. Gomes
Presidente da Comissão de Ética

Centro Hospitalar São João

CONSIDERADOS QUE FORAM COMO SATISFATÓRIOS OS
ESCLARECIMENTOS PRESTADOS PELO(A)
INVESTIGADOR(A), A CES APROVA POR UNANIMIDADE O
PARECER DO RELATOR, PELO QUE NADA TEM A OPOR À
REALIZAÇÃO DESTE PROJETO DE INVESTIGAÇÃO.


Prof. Doutor J. P. Gomes
Presidente da Comissão de Ética

12/01/18